

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
 Date: 7.13.2007 Name: Richard G. Lione, Reg. No. 19,795 Signature: Richard G. Lione

JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Tadayoshi Shiraishi et al.

App. No.: 10/501,685



Filed: April 4, 2005

For: UBIQUINONE-ENRICHED FOODS

Attorney Docket No: 5404/82

**BRINKS
HOFER
GILSON
& LIONE**

Examiner: N/A

Art Unit: 1761

Mail Stop Amendment
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal (in Duplicate); Fourth Supplemental Information Disclosure Statement; Form PTO-1449; and a Copy of Each Listed Reference for Which a Copy is Required.
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

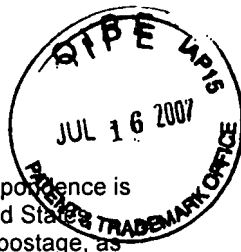
Respectfully submitted,

Richard G. Lione

Richard G. Lione (Reg. No. 19,795)

7.13.2007

Date



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Commissioner for Patents
P.O. Box 1450
Alexandra, VA 22313-1450

7.13.2007
on
Date of Deposit

Richard G. Lione, Reg. No. 19,795

Name of applicant, assignee or
Registered Representative

Richard G. Lione
Signature

7.13.2007
Date of Signature

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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
JP 35-8095	06/29/1960	Japan
JP 54-92616 A	07/23/1979	Japan
EP 0 424 679 B1	05/02/1991	EPO
JP 3-167293 A	07/19/1991	Japan

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
JP 10-45614 A	02/17/1998	Japan
JP 10-147523 A	06/02/1998	Japan
JP 2003-561352	05/29/2007	Japan

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

Sakurai, Yoshito et al., "Shokuhin-Betsu Tenkabutsu Youran," (Handbook of Additives for Various Kinds of Food), March 31, 1970, pp. 85-87.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Note EP 0424679, which is a counterpart of JP 3-167293, was filed in French so only the claims were translated into English. A partial translation of JP 54-92616 is enclosed. Full machine translations of JP 3-167293 and JP 54-92616 will be prepared on the Examiner's request. Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

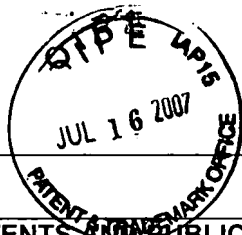
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

7.13.2007
Date

Richard G. Lione
Richard G. Lione (Reg. No. 19,795)



FORM PTO-1449	SERIAL NO. 10/501,685	CASE NO. 5404/82
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE April 4, 2005	GROUP ART UNIT 1761
(use several sheets if necessary)		APPLICANT(S): Tadayoshi Shiraishi et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	E1 JP 35-8095	06/29/1960	Japan		Translation of Relevant Information
	E2 JP 54-92616 A	07/23/1979	Japan		Partial Translation
	E3 EP 0 424 679 B1	05/02/1991	EPO		Abstract
	E4 JP 3-167293 A	07/19/1991	Japan		Abstract
	E5 JP 10-45614 A	02/17/1998	Japan		Abstract
	E6 JP 10-147523 A	06/02/1998	Japan		Abstract
	E7 JP 2003-561352	05/29/2007	Japan		Abstract

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	E8	Sakurai, Yoshito et al., "Shokuhin-Betsu Tenkabutsu Youran," (Handbook of Additives for Various Kinds of Food), March 31, 1970, pp. 85-87.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.